



1 Review

# Context-Aware Diagnostics Specificity (CADS) for SARS CoV-2: A Review of Sensors and Detectors

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24 Abstract: Engineering a medical device as a low-cost, non-invasive diagnostic tool for surveillance 25 of transmission and infection in humans, and animals, is not only critical in a pandemic but also a 26 routine public health necessity. If the pharmacokinetics and pharmacodynamics of binding target 27 proteins with specificity in vitro (device-based diagnostics) provide clues to therapeutic applications 28 (in vivo) then we may have also laid the foundation for potential use in prevention. In this review, 29 we establish a first-principles classification strategy for categorizing devices based on the nature of 30 molecular interactions between targets and sensor recognition elements. In principle, it is applicable 31 to any infectious agent or physiological dysfunction where one or more target molecules have been 32 identified and the specificity of the interaction is documented. Using this approach, we focus on 33 detection of SARS-CoV-2 virus. We summarize an analysis of devices that have been granted 34 emergency use authorization (EUA from the US FDA) as well as those under development in 35 research labs. Connected-devices may enable the underserved population to access at least some 36 facet of public health service using smartphone-based non-invasive rapid detection of infectious 37 agents (the approach for humans may be extended to animals and plants to embrace the OneHealth

38 perspective).

39 Keywords: SARS-CoV-2; COVID-19; coronavirus, multiplexing, biosensor, mobile diagnostics

# 40 1. SARS-CoV-2

SARS-CoV-2 is a coronavirus virion (also known as a virus particle) that infects host cells
through nonlytic exocytosis <sup>1</sup>. The capsid serves as a protein shell and is composed of structural
proteins including spike (S), envelope (E), membrane (M), and nucleocapsid (N) proteins, all of which

serve as an envelope encasing the single-stranded RNA genome, non-structural proteins (Nsp) and
 viral peptides <sup>2</sup>. SARS-CoV-2 contains two large open reading frames (ORF): ORF1a and ORF1b <sup>3</sup>.

46 ORF1ab is the largest gene and contains overlapping ORF that encode polyproteins PP1ab and PP1a

47 which yield NsP 1 through 16. Nsp play an important role in viral RNA replication, transcription and

48 are critical for maintaining genome integrity 4.

The first step of SARS-CoV-2 infection is binding between S protein and host receptors, namely angiotensin converting enzyme 2 (ACE2). S protein receptor binding domain (RBD) makes first contact with ACE2 <sup>5</sup>. Subunit S1 is the surface-accessible portion adjacent to the RBD and is critical for recognition. Subsequent fusion of the viral envelope with the cell membrane is facilitated by exposure of the fusion loop in the S2 subunit, facilitating delivery of viral +ssRNA (positive sense) inside the host cell.

55 Rapid diagnosis of infection is critical to controlling disease outbreak 6. Ideally, diagnostic tools 56 should be non-invasive and low cost, while providing rapid results that have clinical relevance (e.g., 57 determination of infectivity). Although we would like to have all of these features in a single device, 58 currently a suite of tools must be used to deliver a meaningful outcome. The interactions between 59 viral target(s) and unique receptors used in diagnostic devices vary significantly, depending on the 60 specific target and specificity of the target. Further, the type of transduction, data acquisition 61 approach, and data analysis (post hoc) are not common among different devices 7. There are a myriad 62 of different approaches and each diagnostic tool may generate unique output. The unequal 63 characterization and significance of the data influences the value of these test results in the decision-64 making process.

65 A number of reviews and short communications have been published for cataloguing SARS-66 CoV-2 detection tools 8,9,18,10-17. These reviews serve to index critical state-of-the-art knowledge in virus 67 detection and are crucial for sensor development labs, but in many ways the actionable information 68 is lacking for making the connection with diagnostic outcome(s). Beyond catalogues of what has been 69 done, there is a critical need for classification systems which view technology development at the 70 systems scale. Here, we review current SARS-CoV-2 detection devices based on specificity of the 71 molecular interaction(s) between viral target and sensor recognition structure, and we discuss 72 application of devices for diagnostic applications. These aspects are combined to create, at least in 73 principle, a context-aware design emphasizing the specificity of detection targets. The resulting 74 tool(s) and practical outcomes are expected to reflect the context-aware diagnostic specificity (CADS).

In section 2, we develop a simple classification system for organizing devices based on detection of either lysed virion (Type I), or intact virion particle (Type II). Using this binary classification system, we summarize the current state of the art in detection of intact SARS-CoV-2 virus, including devices that have been granted emergency use authorization (section 3). In section 4, we analyze diagnostic devices currently under development using the CADS logic. We conclude in section 5 by introducing challenges and opportunities related to CADS.

## 81 2. Classifying SARS-CoV-2 detection tools based on nature of molecular recognition specificity

82 The most common SARS-CoV-2 structural targets for diagnostic devices are structural proteins 83 (S protein, N protein), or genomic RNA (see section 4). Clinically, the large amount of S protein in 84 serum makes it an important target for serological detection 5, but testing depends on invasive 85 sampling which may not be appropriate for some cases (e.g., frequent testing of children in schools). 86 N protein is often targeted for detection assays and vaccines <sup>19,20</sup>, but has shown non-specific binding 87 to non-target DNA via electrostatic interactions <sup>21</sup>. Datta et al <sup>22</sup> recently reviewed various binding 88 strategies and provided other examples of potential targets for detection beyond S protein, N protein 89 and genomic RNA.

90 Fig 1 shows our proposed classification scheme and is based on type of molecular recognition 91 scheme. Type I includes devices that detect targets released after lysing of the capsid envelope. For 92 example, antigens associated with structural proteins (S, E, M, N proteins), non-structural proteins 93 (Nsp), genomic RNA, or in rare cases viral peptides are all Type I devices. Viral accessory proteins, 94 whose function(s) are not yet elucidated, may be a possible target for Type I devices but have not 95 been published. Type II devices detect extra-capsid targets on intact SARS-CoV-2 virion particles. 96 These devices target at least one of the three structural proteins (S, M, E proteins), but may include 97 other molecules (such as glycans). The most common target is S protein, due to lack of physical access 98 to E and M proteins without disrupting the particle. This simple classification system is intuitive, but 99 it is important to quickly assess the nature of SARS-CoV-2 testing when considering testing outcomes 100 (see section 4 for discussion).



101

Type I: Intra-capsid detection schemes

Type II: Extra-capsid detection schemes

102Figure 1. Classification of SARS-CoV-2 detection tools based on type of molecular interaction. a) Type103I requires lysing of the capsid followed by subsequent detection. Cartoon shows RNA in yellow104wrapped around the N protein in pink. b) Type II: Detecting intact SARS-CoV-2 virion particles relies105on molecular targeting of exposed targets such as spike protein (S). Structures courtesy of Amaro and106Mulholland <sup>23</sup> and image repository at www.covid.molssi.org. Non-structural proteins (Nsp) such as107RNA-dependent RNA polymerase (RdRp) are not shown.

108 Diagnostic tools have been further organized into subcategories based on the recognition 109 element used for detection (transduction schemes are not considered). Most recognition elements 110 discussed here has been analyzed for potential use in SARS-CoV-2 detection and, when available, 111 published references for use cases in COVID-19 diagnostics or research are highlighted. For 112 recognition structures that have not yet been applied for SARS-CoV-2 detection (e.g., lectins), 113 structural and binding features are discussed based on detection of other coronaviruses (see Table 3 114 and supplemental section). The following sections introduce each subcategory, and examples of FDA 115 approved devices (section 3) and research devices (section 4) are reviewed in subsequent sections.

- 116 The subsections are summarized in **Table 1** as a reference.
- 117 Type I detection

118 Type I detection schemes require a lysis step (often thermal or chemical) to release viral RNA or 119 target protein(s). Four subcategories are discussed below, and are based on viable recognition 120 structures that have been tested. Specific examples for coronaviruses are discussed in section 4.

- 121 <u>Type Ia detection (oligo binding in lysate)</u>: This scheme utilizes oligonucleotides as the recognition
- 122 element. The most common molecular targets for polymerase chain reaction (PCR) or loop-
- 123 mediated isothermal amplification (LAMP) primers (denoted as Type Ia in Table 3) are the E, N, S
- 124 and Nsp genes via RT-PCR<sup>17</sup>. Berber et al review the molecular tools for COVID-19 diagnostics and

- 126 acids, ribozymes, aptamers, and RNAi silencing approaches <sup>24</sup>. For detection of viral RNA, reverse
- 127 transcriptase (RT) amplification is common for detection of stable reporters in most cases.
- 128 Fluorescence is the transduction system of choice for nearly all of these systems, which requires a
- 129 label to be inserted during synthesis. The conserved portions of the S2 subunit (responsible for
- 130 fusion machinery, fusion peptide) are likely targets for aptamer binding (particular sub-segments
- 131 are of particular interest). A number of reviews have been published analyzing the current state of 132
- the art for PCR primers targeting SARS-CoV-2, including establishment of reference sequences <sup>25–30</sup>. 133
- The gene-based detection techniques own high specificity and sensitivity, which are reliable
- 134 method for authority document.
- 135 Type Ib detection (aptamer binding in lysate): Single stranded (ss) DNA aptamers (Type Ib in Table
- 136 1) have been developed for binding SARS-CoV-2 targets in lysate <sup>31</sup>. These dynamic single stranded
- 137 oligonucleotides bind via tertiary structures (e.g., hairpin loop, G-quadruplex, etc.). Type Ib schemes 138
- may use numerous forms of transduction for detection of binding (fluorescence, surface plasmon 139 resonance, electrochemical, magnetic), and in some cases more than one transduction scheme is used
- 140 for a single assay.
- 141 Type Ic detection (Ab binding in lysate): Antibodies (Ab) are used as the biorecognition structure for
- 142 selective target binding in lysate, commonly focusing on N and S proteins. These devices have been
- 143 used for development of LFA (lateral flow assay) and ELISA (enzyme-linked immunosorbent assay)
- 144 assays for SARS-CoV<sup>31</sup> and are currently being used for SARS-CoV-2 as well (see section 3). However,
- 145 specificity of the antigen-Ab interaction may change for mutant viruses <sup>32</sup>.
- 146 Type Id detection (lectin binding in lysate): Type Id schemes are lectin binding assays and have been
- 147 used for analysis of viral lysate from herpes simplex virus <sup>33</sup>, Ebola <sup>34</sup>, HIV <sup>35</sup> and coronaviruses such
- 148 as influenza A<sup>36</sup> and SARS-CoV <sup>37</sup>.
- 149 Type II detection
- 150 Biorecognition structures which are relevant for Type II devices include aptamers, peptides, 151 lectins, antibodies, and membrane receptors. The most common exposed target on intact virion 152 particles is S protein (RBD, subunit S1). Similar to HIV, SARS-CoV-2 S protein uses a N-glycan coat 153 on S protein <sup>38</sup> to escape immune recognition. For Type II assays, it remains to be investigated if 154 inclusion of endo-b-N-acetylglucosaminidase (ENGase) <sup>39</sup> is necessary to expose the binding site of S 155 protein by partially removing the N-glycan coat. Each sub-category of Type II schemes are discussed 156
- below, specific examples are discussed in section 4.
- 157 Type IIa detection (aptamer detection of intact virus): Aptasensors may be developed for targeting 158 any exposed structure on the virus particle (e.g., glycan coat, S protein, fusion peptides). The most 159 common types of transduction used in aptasensing of this type are impedimetric, surface plasmon 160 resonance (SPR), and FRET pairing <sup>40</sup>. Numerous DNA aptamers <sup>41</sup> are under development for 161 binding S1 epitopes as shown in section 4 and the supplemental section. There are several peptide 162 targets identified on SARS-CoV <sup>42-46</sup> that could be viable targets, but this has not been confirmed.
- 163 Type IIb detection (Ab detection of intact virus): Type IIb devices utilize Ab as the recognition agent. 164 The use of neutralizing antibodies (nAbs) as immobile targets in biosensors is limited to detection of 165 specific virus strains. Antigenic drift and protein stability are the main problems for clinical 166 application of Type II immunosensors. Recent studies show that most monoclonal antibodies to 167 SARS-CoV do not bind SARS-CoV-2 47. Nevertheless, mouse antiserum raised against SARS-CoV 168 protein has been shown to cross-neutralize SARS-CoV-2 pseudo virus, indicating the possibility for 169 overlapping neutralizing epitopes between SARS-CoV and SARS-CoV-2<sup>48</sup>. Certainly, epitopes may 170 be shared but that introduces doubt in terms of specificity. Accumulating evidence indicates 171 significant disparity between dissociation constants likely due to non-conserved epitopes and post-172 translational modifications (N-glycosylation site at amino acid residue 370 on SARS-CoV) 49.

173 Generally sensing platforms are validated with one SARS-CoV-2 strain and do not account for 174 mutations 50,51.

175 Type IIc detection (lectin binding of intact virus): These devices are based on interactions between 176 lectins (the biorecognition element) <sup>52</sup> and saccharide targets and lectin carbohydrate recognition 177 domains (CRD) <sup>53</sup>. The clearest application is targeting of the glycan shield on SARS-CoV-2, which is 178 based on binding of N-linked glycan epitopes by CRD, a concept which has been studied for Ebola 179 <sup>54</sup>, SARS-CoV <sup>55</sup>, and other coronaviruses <sup>56,57</sup>. Lectin arrays target specific patterns of glycan based on 180 the pattern recognition receptor (PRR) system. PRR are the first line of innate immune response 181 proteins that respond to pathogen-associated molecular patterns (PAMP) and damage-associated 182 molecular patterns (DAMP) in animals. These include membrane-associated PRR such as Toll-like 183 receptors (TRL) which sense pathogen-associated and danger-associated molecular patterns 184 extracellularly or in endosomes. Specific detection of DAMPs can lead to cell viability detection due 185 to PRRs that bind dying cells based on changes in glycosylation patterns on the cell surface. It is 186 unknown which features of the PAMP/DAMP system may be replicated for SARS-CoV-2 detection.

- 187 Type IId detection (membrane receptor binding of intact virus): The last subcategory of devices is 188 based on the interaction between membrane proteins and the intact virion particle. Human 189 angiotensin converting enzyme II (ACE2) is the candidate host membrane receptor biosensor. 190 ACE2 mediates entry of severe acute respiratory syndrome coronaviruses (including SARS-CoV, 191 MERS-CoV, and SARS-CoV-2) into humans as well as other animals 58-61. The encouragement for 192 ACE-2 in Type IId design is due to the fact that such a sensor system may act as a "platform" for a 193 family of zoonotic viruses agnostic of the mutations a specific virus evolves. For example, sensor 194 systems using the protein ACE2 as the binding target may identify MERS, SARS and SARS-CoV-2 195 viruses because the virus family still, (in an evolutionary sense) uses human ACE (hACE2) as the 196 cellular receptor to invade human cells irrespective of the variants over decades. Though ACE2-based 197 sensing could be prone to false-positive results when screening for a specific viral strain, these 198 "generic" results will still be useful given the virulence and mutation potential of the family of viruses 199
- that use hACE2-mediated infection. Type IId devices may utilize various types of transduction.
- 200
- Table 1. Classification scheme for SARS-CoV-2 detection schemes organized devices by nature of 201 molecular binding event. We review seven classes of devices that are organized based on detection of 202 either lysate soup (Type I) or intact virion particle (Type II).

	Туре	Recognition type	General Strengths	General Weaknesses
Intra-capsid detection	Ia	Oligo.	Best LOD of any tool (0.1 aM is common); multiplexing, highest sensitivity and specificity of any test	Potential for false positive/false negative; requires a label for detection
	Ib	Aptamer	Long shelf life; wide range of targets; customizable features; LOD comparable to antibody	Unknown affinity/avidity for target; modeling required for determination of 2D/3D structure; unknown specificity in complex mixtures
	Ic	Antibody	Well documented results; established protocols commercially available materials; clinically relevant LOD	Short shelf life; potential for false negative; turnaround time of approximately 72 hours

		Id	Lectin	Easily accessible; well established and proven screening array technologies, vast library of glycobiology information regarding binding affinity/avidity in various biological media	Non-specific binding; poor LOD; requires a label for detection
	Extra-capsid detection	IIa	Aptamer	Long shelf life; wide range of targets; customizable features; LOD comparable to antibody	Unknown affinity/avidity for target (for new aptamers); modeling required for determination of 2D/3D structure; effect of tethering to sensor surface unknown
		IIb	Antibody	Rapidly accessible to many labs for developing tools; known immobilization schemes; status quo acceptance; clinically relevant LOD	Short shelf life; potential for false negative; binding kinetics affected by surface protein mutation
		IIc	Lectin	Easily accessible; well documented binding chemistry; known affinity/avidity	Non-specific binding; poor LOD
		IId	Membrane receptor protein(s)	Binding may not be affected by mutation for some receptors (hACE-2)	Short shelf life for recombinant proteins; receptors bind most respiratory viruses (low specificity); stability of artificial (recombinant) membrane proteins unknown

203 Oligo.= oligonucleotides;

204 *hACE-2= Human angiotensin converting enzyme-2.* 

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206 Although not reviewed here, serological analyses are rooted in analysis of post-infection 207 biomarkers via antibody screens using tools such as ELISA or other protein detection methods. The 208 distinction between the two classifications here is that serological assays are neither detecting lysate 209 nor intact virion particles. Rather, a serological test is detecting the presence of Ab (typically 210 immunoglobulin G) that are present in fluids as a result of immune response. For example, antibody-211 based NanoLuc luciferase immunoprecipitation assays in HEK293 cells have been developed for 212 serological detection of N and S protein <sup>62</sup>. Rosadas et al <sup>63</sup> note in a critical review that serological 213 assays developed using antibodies to N protein (anti-NP) may be flawed due to an inability to 214 determine neutralizing and potentially protective antibodies, among other problems.

In the next section, we review the approved Type I and Type II devices under the FDA emergency use authorization.

# 217 3. FDA approved devices under the Emergency Use Authorization (EUA)

Recent reviews have catalogued the list of FDA approved devices under the Emergency Use Authorization (EUA) <sup>64</sup>, which uses a 3-tier system: EUA under the Clinical Laboratory Improvement Amendments for high complexity tests (designation H), moderate complexity tests (designation M)

221 and patient care settings operating under a CLIA (Clinical Laboratory Improvement Amendment)

222 Certificate of Waiver (designation W). Static reviews of EUA devices devalue over time as the EUA
 223 and subsequent authorizations are dynamic processes that are subject to approval, re-approval, and
 224 various other factors.

225 In Table 2, we summarize the status of the EUA devices as of the date of this review, and we 226 augment the table by providing analysis of the potential strengths and weaknesses as well as a 227 denotation of which type of detection was used. To date, there are seven Type Ia devices (primers for 228 lysate), six Type Ic devices (Ab for lysate antigens), and two serological tests under designation W; 229 serology devices are shown in the supplemental section (no devices for direct detection). At the time 230 of writing this review, each of the EUA tests other than the Lucira home testing kit have approval for 231 use in laboratories certified under designation H, M and W; while the Lucira home test only has 232 approval for designation W at the time of this review.

233 All of the Type I devices employ primers for PCR- or LAMP-based detection, commonly called 234 "molecular tests". The other devices in Table 2 are commonly called antigen tests, which to date have 235 been developed for targeting antigens in lysate. One of the common disadvantages of Type Ic tests 236 (antibody-based) is the high rate of false positives from bacterial infection or other viruses. Each of 237 the devices in Table 2 uses a unique control strategy to account for this problem, and the long-term 238 success of each approach is to be determined. The most useful antigen tests are multiplexing tools 239 that detect other bacterial and viral infections, such as the Sofia LFA. All EUA antigen tests have 240 disclaimers stating that positive results do not rule out a bacterial infection or co-infection with other 241 viruses, indicating that secondary validation or other multiplex approaches are highly needed.

242 All EUA tests to date, with the exception of Cue (Type Ia) and Ellume (Type Ic) require a 243 prescription. This is a major problem that severely restricts access for vulnerable communities 244 (particularly in the present pandemic, where many people are no longer working due to lockdowns 245 and general economic downturn). Further exacerbating this problem, multiple EUA tests report a 246 narrow window for accurate detection (15-30 min), which could be problematic if used as a home test 247 kit or outside of a clinical setting. In addition to access and potential for operator error, every test in 248 the EUA is a disposable device (some even require the user to dispose of the battery) which is a fatal 249 flaw when considering the scale of the public health crisis and the landfill problems that would 250 emerge from disposing of tens of millions of batteries. As a first generation, these devices represent 251 a useful step toward progress, but many could be authorized as quantitative devices with minor 252 engineering modifications. When combined with features such as low cost and rapid turnaround 253 time, quantitative detection is critical for future detection systems which provide enhanced value 254 (e.g., stage of infection, active shedding status, etc).

255 Dinnes et al 65 reviewed commercially available antigen tests, PCR tests, and one at home test kit 256 developed in 2020. The study analyzed over 3,100 samples (approximately 55% were positive). The 257 sensitivity and selectivity of Type Ia (PCR) was highest, followed by home test kits and then antigen 258 tests (LFA). Only two home test kits were analyzed, and the results were highly variable, thus more 259 analysis is needed to confirm if home test kits are more accurate than antigen tests. Antigen test kits 260 such as the SD STANDARD Q COVID-19 SD-Biosensor kit have been independently analyzed in 261 other studies. Cerutti et al <sup>66</sup> report no false positives but the test kit had a high false negative rate. 262 Hirotsu et al 67 compared a chemiluminescence enzyme immunoassay (CLEIA) antigen test kit 263 (LUMIPULSE) with quantitative RT-PCR for viral load with 313 samples taken by NP swab. 264 According to this analysis, the antigen level was accurate (100%) when the sample contained >100 265 viral copies but was only 85% accurate when the sample contained  $\leq$  10 viral copies. This result, 266 among others, shows that the relatively low sensitivity of antigen testing may be problematic 267 depending on the progression of infection.

- 268 Table 2. Critical review of FDA Emergency Use Authorization (EUA) *in vitro* POC devices (category
- 269 "W") approved at the time of this review. Strengths and weaknesses should be framed around our
- 270 idea for rapid, quantitative data that can be de-identified, sent to a cloud database, and then made

available to public health databases within 24 hours. All tests other than Cue (Type Ia) and Ellume (Type Ic) require a prescription. All tests are qualitative and utilize internal controls.

Name of test (Entity)	Туре	RTA (target)	Sample	Strengths	Weaknesses
Lucira COVID-19 All-In-One Test Kit	Ia	RT-LAMP assay with colorimetric transduction based on pH-sensitive halochromic agents (N gene)	NP swab	30 min turnaround; 14 years and older; digital readout	Specialty equipment required; entire device is disposable; ability to detect in presence of other viruses unclear
BioFire Respiratory Panel 2.1-EZ	Ia	Nested multiplex PCR (S gene; M gene)	NP and nasal swab	multiplex detection (16 different viruses and 4 bacteria); 6 years and older; 45 min turnaround	requires trained specialist to conduct test
Xpert Xpress SARS-CoV- 2/Flu/RSV	Ia	RT-PCR (E gene; N2 gene)	NP swab, nasal swab, nasal wash/ aspirate	Multiplex detection (CoV-2, inf. A, inf. B, RSV); 6 years and older; 30 min turnaround	no discrimination between N2 and E gene; requires trained specialist to conduct test
Mesa Biotech Accula SARS-Cov-2 Test	Ia	RT amplification with LFA (N gene)	NP and nasal swab	Partial automation, for patients 5 years and older; 30 min turnaround	No discrimination between SARS-CoV; requires specialty equipment; visual readout (no digital data)
Cobas SARS- CoV-2 & Influenza A/B Nucleic Acid	Ia	RT-PCR (ORF1a/b Nsp; N gene)	NP and nasal swab (self- collected)	Multiplex detection (CoV-2, inf. A inf. B); internal controls; partial automation; digital readout; 20 min turnaround	No differentiation between CoV; health supervisor and specialty equipment required
Abbott ID NOW COVID-19	Ia	Molecular RT, Isothermal amplification (target not identified)	NP, nasal, throat swabs	Partial automation; digital readout; 13 min turnaround	No differentiation between CoV; health supervisor, specialty equipment and trained personnel required
Cue COVID- 19 Test	Ia	Isothermal RT-PCR	Nasal swab	Partial automated; RNase P control; partial automation;	Requires iPhone 8+; no differentiation CoV; direct visual readout:

(smartphone)		(N gene)		no prescription required; 25 min turnaround	specialty equipment required
Ellume COVID-19 Home Test	Ic	LFA (N protein antigen)	Nasal swab	Smartphone based test; authorized for children 2 years and older; 15 min; no prescription required	No differentiation between SARS-CoV and SARS-CoV-2; analyzer and battery must be discarded after use
CareStart COVID-19 Antigen test	Ic	Immuno- chromatographic LFA (N protein antigen)	NP swab	Partial automation; 6 years or older; 10 min turnaround	No differentiation for CoV; direct visual readout has 5 min window
LumiraDx SARS-CoV-2 Ag	Ic	Microfluidic turn-on fluorescent immunoassay (N protein antigen)	NP swab	Partial automation; 5 years and older; digital readout; 12 min turnaround	No differentiation between CoV;health supervisor required; direct visual readout; specialty equipment
Siemens BinaxNOW COVID-19 Ag Card Home Test	Ic	LFA immuno- chromatographic assay (N protein antigen)	Nasal swab	Simple one-step test; 15 years or older; 30 min turnaround	Requires iPhone IOS 11 or Android V8; Qualitative; must be performed with the supervision of a telehealth proctor
Sofia 2 Flu + SARS Antigen FIA	Ic	Multiplexing LFA, turn-on fluorescent immunoassay (N protein antigen)	NP and nasal swab	Multiplex detection (CoV-2, inf. A, inf. B); partial automation; 6 years and older; 15 min turnaround; digital readout	No differentiation between CoV; health supervisor and specialty equipment required
BD Veritor System for Rapid Detection of SARS-CoV-2	Ic	Immuno- chromatographic LFA (N protein antigen)	Nasal swab	Point of care testing with proven instrument; partial automation; digital readout; simple one-step test; 15 min turnaround	Health supervisor and specialty equipment required

- 273 *RTA= Recognition-Transduction-Acquisition scheme.*
- 274 NP swab = Naso-pharyngeal swab.
- 275 *LFA= Lateral flow assay.*
- inf. A= influenza A.
- 277 inf. B= influenza B.
- 278 *RSV= respiratory syncytial virus.*
- 279 Se\*= Serological test (neither Type I nor Type II).

## 280 4. SARS-CoV-2 detection schemes under development

281 **Table 3** shows the tools published to date that are under development using Type I detection.

282 <u>Type Ia detection (oligo binding in lysate)</u>: The primary SARS-CoV-2 targets for Type Ia assays

were N gene or Nsp12 (RdRp), but detection of E gene and crRNA have also been demonstrated.

- For MERS-CoV, detection assays have been developed for targeting the RLKGG cleavage site and N
- gene. RTA schemes for Type Ia (oligo-binding) were diverse, including DNA hybridization with either SPR or colorimetric transduction. Other devices were based on RT-LAMP assays, CRISPR-
- 287 Cas systems, or luciferase systems based on papain-like protease (PLpro) activity. The most
- common sample was NP swab, but testing to date also included plasma samples, throat swabs, and
- sputum. HEK293T cells and Ersatz solutions (multigene mixtures in buffer) were also tested but
- 290 have low clinical relevance. The LOD for Type Ia devices published to date ranges from 100 fM
- 291 (RdRp gene) to 220 fM (Nsp12), or from 1 to 2 copy/µL for PCR and CRISPR based tools.
- 292 <u>Type Ib detection (aptamer binding in lysate)</u>: Type Ib tools (apta-detectors) have been developed for
- 293 targeting either N protein or Nsp12 and tested in samples derived from human serum, sputum, urine
- and NP swabs (see supplemental section for sequences and K<sub>D</sub> values for all aptamers in this review).
- 295 RTA schemes include aptamer-based sandwich assays (nAu reporter), turn-on fluorescent systems
- with splint-based RNA detection, electro-chemiluminescent devices (labeled-DNA aptamer tetrahedrons), and naked eye quantum dot (QD) chip systems. The LOD of these emerging devices
- ranges from 0.1 pg/mL (QD chip in buffer) to 10ng/mL (ELISA and LFA). Response time was between
- 299 15 and 120 min, a range which is applicable to high throughput screening if clinical specificity
- 300 thresholds are met. Chen et al <sup>68</sup> suggest that aptamers targeting N protein require two stem loops,
- but the study did not investigate a wide range of secondary structures so the results are inconclusive.
- 302 Generalizations about secondary structure aside, there is a need to understand the effect(s) of 303 electrostatic interactions on aptamer binding. SARS-CoV-2 is negatively charged at physiological pH
- electrostatic interactions on aptamer binding. SARS-CoV-2 is negatively charged at physiological pH
   isoelectric potential of S, E, and M proteins are 6.2, 8.6, and 9.5, respectively <sup>70</sup>. Electrochemical
- 304 <sup>69</sup>. Isoelectric potential of S, E, and M proteins are 6.2, 8.6, and 9.5, respectively <sup>70</sup>. Electrochemical
   305 biosensors are particularly sensitive to Debye shielding and zwitterionic storm at the electrode
- 306 surface.

307 <u>Type Ic detection (Ab binding in lysate)</u>: Type Ic tools (Ab-based detection) have been developed for
 308 N protein but a few devices have also been developed for targeting S1 subunit in lysate. Monoclonal
 309 antibodies (MAb) and polyclonal antibodies have each been used with varying degree of success, but

- 310 one of the more promising approaches is the monobodies developed through phage display by
- 311 Kondo 71, which may also have therapeutic potential based on S1 RBD-ACE1 binding assays. When
- 312 applied in ELISA assays the performance was poor relative to other Type Ic devices, it remains to be
- 313 seen if this approach has value in rapid detection. Beyond ELISA and RT-PCR, FET and fluoresce
- 314 were also shown to viable, although the clinical performance remains to be proven. Compared to
- 315 other Type I devices, Ab-based tools had a poor LOD and a similar response time (30 to 120 min) in
- 316 nasal swab, NP swab, saliva, and urine. A label-free FET device targeting SARS-CoV (N protein) 317 using Ab-mimic proteins functionalized on In<sub>2</sub>O<sub>3</sub> nanowires <sup>72</sup> may have promise for application in
- 318 SARS-CoV-2 but has not been shown thus far.
- 319 <u>Type Id detection (lectin binding in lysate)</u>: To date, no Type Id tools (lectin sensors) have been 320 demonstrated for detection of capsid lysate from SARS-CoV-2. However, there are numerous targets 321 that can be explored in viral lysate, a review of lectins from non-mammalian sources provides details 322 <sup>73</sup>, including mannose-binding lectins (MBL), Ca<sup>2+</sup>-dependent lectins (C-type), N-acetyl-glucosamine-323 binding lectins (N-type), fucose-binding lectins, and the super-family of I-type lectins 324 (immunoglobulins excluding Ab and T cells). Use of lectins as biorecognition elements for analysis 325 of viral lysate in other systems <sup>33–37</sup> provides confidence that the tool could be useful if rigorously
- 326 tested and combined with other tools.

327 328 Table 3: Summary of tools developed for SARS-CoV-2 detection based on Type I detection (lysed capsid) and Type II (intact capsid) targets. See supplemental section for SARS-CoV and MERS

329

capsid) and Type II (intact capsid) targets. See supplemental section for SARS-CoV and detection.

Type	SARS-CoV-2 Target(s)	RTA	Sample(s) Tested	LOD	t95 [min]	Reference
	RdRp (Nsp12)	Hybridization: LSPR combined with plasmonic photothermal effect	Multigene mixtures (ersatz)	220 fM	60	Qiu <sup>74</sup>
ve Ia	S, N genes	Isothermal rolling circle amplification (RCA) for rapid detection of SARS-CoV-2	NP swab	1 copy/ μL	120	Chaibun <sup>75</sup>
Typ	E, N genes	RT-LAMP on LFA based on CRISPR-Cas12 detection	NP swab	1 copy/ μL	40	Broughton <sup>76</sup>
	ORF1ab, N genes	Reverse transcription LAMP	NP swab	12 copies/ reaction	60	Zhu 77
	4,500 crRNA	CRISPR-Cas13a (CARMEN- Cas13)	Plasma, NP, throat swabs	NR	120	Ackerman 78
	ORF1ab, N gene	RT-PCR CRISPR-Cas12a fluorescent reporter assay	NP swab	2 copies/ μL	50	Huang 79
	N protein	DNA aptamer-based sandwich assay; nAu reporter for ELISA and LFA	Diluted serum	10 ng/mL	120	Chen 68
	N protein	DNA aptamer-invertase magnetic bead assay on commercial glucometer (upon binding, antisense strand is displaced and activates invertase).	saliva	4.4 pM	NR	Singh <sup>80</sup>
Type Ib	N protein, S protein (S1)	Aptamer-assisted proximity ligation assay with qPCR (fluorescence)	Human serum	31 pg/mL	120	Liu <sup>81</sup>
	N protein	Sandwiched aptamers in ELISA assay with Au label	Sputum, urine, serum	1 ng/mL	15	Zhang <sup>82</sup>
	RdRp (Nsp12)	DNA aptamer reporter (turn on) for sensitive splint-based RNA detection (SENSR) using T7 promoter system	NP swab	14 pg/mL	60	Woo <sup>83</sup>
	RdRp (Nsp12)	Electro-chemiluminescence based on labeled-DNA tetrahedrons	Human serum	0.4 pg/mL	60	Fan <sup>84</sup>
Type Ic	S protein (S1 RBD) Monobodies (TRAP display with BLI) for sandwich ELISA assay and RT-PCR		Nasal swab	76.5 ng/mL	120	Kondo 71

N protein	Dual-labeled magnetic nanobeads for immunomagnetic signal amplification	Serum	230 pg/mL (whole serum) 100 pg/mL (dilute serum)	60	Li <sup>85</sup>
S protein (S1 RBD); N protein	E-chem ELISA, PAb labeled with alkaline phosphatase on magnetic beads	Saliva, NP swab	19 ng/mL (S1); 8 ng/mL (N)	30	Fabiani <sup>86</sup>
N protein	Fluorescence immuno- chromatographic assay	Urine, NP swab	NR	10	Diao <sup>87</sup>

- 330 RTA= Recognition-Transduction-Acquisition scheme.
- 331 *t*<sub>95</sub>= *Response time*.
- 332 LFA= Lateral flow assay.
- 333 ELISA= Enzyme linked immunosorbent assay.
- 334 *E-chem= Electrochemical assay (cyclic voltammetry).*
- *FET= Field effect transistor.*
- 336 NP= Nasopharyngeal swab.
- 337 SERS= Surface-enhanced Raman spectroscopy;
- 338 TRAP= Transcription-translation coupled with association of PuL;
- 339 BLI= Bio-layer interferometry;
- 340 MAb= Monoclonal antibody;
- 341 *PAb= Polyclonal antibody.*
- 342 NR=Not reported.
- 343

344 Table 4 shows the tools published to date that are under development using Type II detection345 (no EUA or CLIA authorization).

346 Type IIa detection (aptamer sensors for intact virus): Only a few Type IIa tools (apta-sensors) have 347 been developed, and all devices targeted S protein (S1 subunit) and were tested in either buffer or 348 diluted saliva (see supplemental section for sequences and KD values for aptamers). RTA schemes 349 include FET devices on silica thin films, thiolated aptamers on gold-sputtered polystyrene film 350 electrodes with voltametric transduction, and a cascade based on invertase following aptamer 351 displacement (amperometric glucometer for acquisition). Two of the devices employed the same 352 aptamer (developed by Song et al <sup>41</sup>), which was a 51-nt with three hairpins (two hairpins joined on 353 the dista5' arm), and the other device used a 51-nt triple hairpin structure with a 15-nt antisense 354 strand on the 3' arm developed by Singh et al 80. The LOD of these Type II aptasensors was 1 to 6 pM 355 with response times of 30 to 60 minutes. Only two aptamers have been tested to date. Devi and 356 Chaitanya<sup>88</sup> designed a number of S protein peptide aptamers *in silico* aptamers but these have not 357 yet been tested for detection. Although more testing is required, the 26-nt DNA aptamer targeting S 358 protein RBD by Sun et al <sup>89</sup> may be an interesting candidate for testing (KD=0.13 nM; no error 359 reported). For electrochemical devices in body fluids such as saliva, regulation of ion concentration 360 in the test medium may prove to be one of the most critical steps as it can influence signal-to-noise 361 ratio (see Table S1 in supplemental section).

- 362 <u>Type IIb detection (Ab detection of intact virus)</u>: Limited Type IIb devices have been developed to
- 363 date, but a few devices targeting S protein RBD have shown results consistent with other devices.
- 364 RTA schemes were either based on graphene FET or nAu-based SERS in either buffer or NP swab
- 365 samples. Detection time was from 30 min to over 3 hours with LOD as low as 4 fM.
- 366 <u>Type IIc detection (lectin binding of intact virus)</u>: To date, no Type IIc tools (lectin sensors) have been
- 367 demonstrated for detection of glycan-shields on S protein but we include the category here due to

- 368 the potential for improving assays by including a control that targets the S protein glycan shield.
- 369 Datta et al <sup>22</sup> articulate the importance of this interaction as a positive control using C-type and I-type
- 370 lectins. This concept was also proposed by Rahimi in mapping SARS-CoV-2 targets to various lectins
- <sup>90</sup>. Beyond targeting sugar residues such as glycan with C-type and I-type lectins (positive control),
   Datta et al <sup>22</sup> also describe a negative control system for other microorganisms common to respiratory
- Datta et al <sup>22</sup> also describe a negative control system for other microorganisms common to respiratory
   samples of interest. While no Type IIc sensors have been developed, yet, many studies have
- 374 investigated the role of lectins in reversible binding of sugar residues on the surface of coronaviruses,
- including MERS <sup>91,92</sup>, SARS-CoV <sup>93,94</sup>, and SARS-CoV-2 <sup>95-98</sup>. Currently, lectins are being explored for
- 376 use as antivirals targeting extra-capsid structures such as the glycan shield <sup>99–102</sup>.
- 377 <u>Type IId detection (membrane receptor binding of intact virus)</u>: There are few examples of the last
- 378 tool category, Type IId detection, involves use of membrane receptors (e.g., ACE-2 and engineered
- 379 membrane proteins) for binding intact virion particles. Chang et al <sup>103</sup> developed a sensor for S protein
- 380 detection using human ACE-2 adsorbed on gold electrodes for SARS-CoV but to date no ACE-2
- 381 biosensors have been reported for SARS-CoV-2. Guo developed an assay for detecting SARS-CoV-2
- 382 S protein based on recombinant mammalian (Vero) cells with exposed human chimeric Ant-spike S1
- antibody and an electrochemical readout. However, to date Type IId devices have not been used as
- a positive control in multiplex assays, an idea which may improve rapid screening tools if the
- 385 materials are optimized <sup>22</sup>.
- Table 4. Summary of tools developed for SARS-CoV-2 detection based on Type II detection (intact capsid). See supplemental section for Type II biosensors developed for SARS-CoV and MERS.

Type	Target	RTA	Sample(s) Tested	LOD	t95 [min]	Reference
	S protein (S1)	DNA aptamer on silicon thin film FET	PBS buffer	1 pM (1nM upper)	NR	Farrow <sup>104</sup>
Type IIa	S protein	DNA aptamer-invertase magnetic bead assay on commercial glucometer (upon binding, antisense strand is displaced and activates invertase).	saliva	5.8 pM	30	Singh <sup>80</sup>
	S protein (S1)	Thiolated DNA aptamer on gold-sputtered polystyrene film electrodes	Diluted saliva (10%)	1.3 pM	60	Zakashansky <sup>105</sup>
Type IIb	SARS-CoV-2 S protein	Anti-S Protein on graphene FET	NP swab	16 PFU/ mL (media); 242 copies/mL (clinical)	240	Seo <sup>50</sup>
	SARS-CoV-2 S protein	Monoclonal anti-S coated nano-Au SERS	Buffer	4.2 fM	30	Ahmadivand

Type IIc	NA	NA	NA	NA	NA	NA
Type IId	SARS-CoV-2 S protein (S1 subunit)	Recombinant mammalian (Vero) cells with exposed human chimeric receptor	Buffer	1 fg/mL	180	Guo

- 388 RTA= Recognition-Transduction-Acquisition scheme;
- 389 t95= Response time;
- 390 LFA= Lateral flow assay;
- 391 ELISA= enzyme linked immunosorbent assay;
- 392 *E-chem= Electrochemical assay (cyclic voltammetry)*
- 393 *FET= Field effect transistor;*
- 394 *NP= Nasopharyngeal swab;*
- 395 SERS= surface-enhanced Raman spectroscopy.
- 396 NA=not available;
- 397 NR=not reported.
- 398

399 Numerous papers have suggested various other design strategies for SARS-CoV-2 detection, 400 including thio-NAD cycling 107, micropillar PDMS platforms with CRISPR detection 108, and 401 nanoparticle bioassays <sup>22</sup>, for example. However, to date the devices in Table 3-4 are the only 402 published biosensors at the time of this review, and the published literature is lacking in terms of 403 rigorous clinical testing of these emerging tools. Further, the published devices do not show 404 comprehensive and rigorous controls required to determine clinical relevance of the device.

405

## 5. Challenges and Opportunities in Type II SARS-CoV-2 diagnostics

406 There are numerous challenges to development of Type II diagnostic tools. Below we briefly 407 summarize major issues related to first-principles engineering and systems-level considerations. In a 408 follow up review, our team will discuss additional challenges and opportunities for CADS in detail.

#### 409 First-principles engineering (RTA)

410 Fundamental research in diagnostic tools requires analysis through the lens of first principles. 411 This fundamental approach is critical to avoid catastrophic mistakes at the clinical stage. Engineering 412 first principles are based on the RTA triad, which includes molecular recognition, signal transduction 413 and acquisition 7,109. The nature of molecular interactions between viral targets and sensor 414 nanostructures is the most granular level of analysis, and this is intimately coupled with transduction 415 events that may include energetic changes of electrons or photons. To date, Type II devices have 416 focused on recognition of S1 protein based on DNA aptamers, immuno-specific affinity, stratified 417 biomolecule cascades, and whole cell biosensor systems. While each of these represent a proof-of-418 concept demonstration, rigorous control studies are needed to understand sample matrix effects 419 before any success can be translated to clinical testing. High throughput technologies (e.g., surface 420 plasmon resonance, biolayer interferometry) may offer validation of binding affinity in complex 421 mixtures. In addition, fundamental studies to show that biomaterials are stable under testing 422 conditions are necessary. Incorrect biomaterial arrangement and architecture are known to cause 423 catastrophic failure if the structure becomes unstable during testing <sup>110,111</sup>. In addition to detailed 424 studies of molecular recognition and material stability, there is limited data on the use of 425 nanomaterials for enhanced transduction and material biocompatibility.

426 Systems-level considerations 427 There are many challenges to digitizing public health care at a large scale <sup>112</sup>. The specific design 428 choices for selecting hardware, data curation methods, and analysis are critical to the outcome, and 429 thus the value, of the detection tool 7,109. Connected devices may enable the underserved population 430 to access at least some facet of public health service using smartphone-based non-invasive rapid 431 detection of infectious agents. Budd et al <sup>113</sup> reviewed current digital technologies available for 432 surveillance, identification, tracing and evaluation of COVID-19 in 2020, focusing on legal, ethical, 433 data privacy, organizational and workforce barriers. Although beyond the scope of this review, in a 434 follow up review our team will discuss critical gaps in the discussion, including: i) analytical versus 435 clinical performance, ii) data connectivity, iii) multiplexing and data fusion, iv) distinguishing 436 infective vs. non-infective SARS-CoV-2, and v) testing access and equity.

## 437 Supplementary Materials

438 Supplemental material are included.

## 439 Author contributions

440 Conceptualization, E.S.M., S.P.A.D.; Methodology, Y.T., C.G., D.V. and E.S.M.; Formal Analysis,

Y.T. and E.S.M.; Data Curation, E.S.M.; Writing – Original Draft Preparation, E.S.M. and S.P.A.D.;
Writing – Y.T., C.G., E.A., D.D., T.-R. T., S.P.A.D., D.C.V., E.S.M.; Review & Editing, E.S.M., S.P.A.D.,

- Writing Y.T., C.G., E.A., D.D., T.-R. T., S.P.A.D., D.C.V., E.S.M.; Review & Editing, E.S.M., S.P.A.D.,
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- 444 E.S.M.
- 444 E.S.M

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## 449 **Conflict of interest**

450 The authors declare no conflicts of interest.

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